

# Evaluation of Recovery-oriented Acute INpatient Mental Healthcare

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# Disclosures

The views expressed here are mine and do not represent those of the Department of Veterans Affairs or the United States Government.

I have no financial conflicts to disclose.

# Acknowledgements

## ▶ **Co-Investigators**

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## ▶ **OMHSP Operational Partners**

- ▶ Gayle Iwamasa, Ph.D.
- ▶ Marcia Hunt, Ph.D.

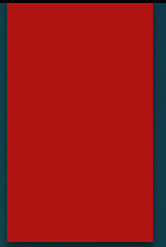
## ▶ **Study Team**

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# Polling Questions



# Rationale

- ▶ There have been numerous efforts to define, measure, and support the implementation of recovery-oriented care in outpatient settings.
  - ▶ Anthony, W. A. (1993). Recovery from mental illness: the guiding vision of the mental health service system in the 1990s. *Psychosocial rehabilitation journal*, 16(4), 11.
  - ▶ Deegan, P. E. (1988). Recovery: The lived experience of rehabilitation. *Psychosocial rehabilitation journal*, 11(4), 11.
- ▶ However, recovery-oriented *inpatient* care has received relatively less attention.
- ▶ Nonetheless, inpatient care is an important part of the recovery journey for many persons with mental illness.

# Background

- ▶ 9/18/2013- VHA-wide distribution of the new Inpatient Mental Health Services Handbook (VHA Handbook 1160.06)
  - ▶ **Recovery Services Toolkit:** “a Toolkit and related guidance for use of the Toolkit for the implementation of recovery principles and practices for VHA inpatient units”
  - ▶ As part of the Toolkit, the **Inpatient Recovery Checklist** was developed as a guide for “systematic implementation of recovery-based services”.
- ▶ Despite these great efforts, there had not been any *systematic assessment* of recovery-oriented inpatient care w/in VA

# Study Aims



- ▶ Aim 1: Assess the penetration of recovery-oriented inpatient care across the VHA.
- ▶ Aim 2: Describe the implementation process, including challenges and strategies to overcome them, used by sites to implement elements of recovery-oriented care.
- ▶ Aim 3: Examine the relationship between recovery-oriented inpatient care and Veteran outcomes.

# Overview: Mixed Qual/Quant



Define Recovery-Oriented Inpatient Care



Measure ROC at Sites



Understand Implementation



Test Association: ROC & Outcomes





# Operationalizing Recovery- Oriented Inpatient Care

THE RAIN SCALE

# Where to start?

- ▶ Numerous definitions exist
  - ▶ Often general or abstract- not operationalized
  - ▶ How do you choose the best?
- ▶ VA Checklist
  - ▶ Meant for VA
  - ▶ Tied to policy
  - ▶ However, Checklist intended for self-assessment & QA

# Checklist Modification



- ▶ Wording sometimes not clear to research team
  - ▶ E.g., periodic “reality check”
- ▶ Multiple aspects of care rated in an item
  - ▶ I.e., “double-barreled”
- ▶ Anchors not precise enough
  - ▶ 3-pt. scale
- ▶ Needed more objective criteria

# Continuous Development



- ▶ Initial Scale
- ▶ Site scoring meetings after each visit
- ▶ Notes from meetings collated and reviewed by the full team
- ▶ Periodic reviews of the literature
- ▶ Review by partners at the VA Office of Mental Health and Suicide Prevention and inpatient program coordinator

# RAIN Scale

- ▶ 23 items
- ▶ 5-Point Rating Scale
  - ▶ 2.0 Excellent quality and consistency (deviations or deficits rare)
  - ▶ 1.5 Good quality and consistency (some deviations or minor deficits)
  - ▶ 1.0 Regular deficits in consistency *OR* quality
  - ▶ 0.5 Regular deficits in consistency *AND* quality
  - ▶ 0.0 Little or no goal setting
- ▶ Scoring Criteria



# Scale Organization

4 subscales based on CFA (still ongoing)

- Inpatient Treatment Planning
- Outpatient Treatment Planning
- Group Programming
- Milieu



# Inpatient Treatment Planning

- Recovery-oriented Goal Setting
- Written Treatment Plan
- SDM for Medication Management
- SDM for Inpatient Treatment
- Interdisciplinary Treatment Team
- Family/Significant Other Involvement



# Outpatient Treatment Planning

- SDM for Outpatient Treatment
- Outpatient Care Coordination
- Least Restrictive Discharge
- In-Reach






# Group Programming

- Sufficient Volume of Group Programming
- Sufficient Group Variety
- Support for Programming
- High Quality Programming



# Milieu

- Warm & Inviting Unit
- Autonomy Promoting Environment
- Respectful Therapeutic Interactions
- Behavior Managed Through Least Restrictive Means



# Other (Non-factor Items)

- Integrated Care for Comorbid Physical Health
- Individual Evidence-Based Psychotherapy
- Suicide Prevention
- Multiple Disciplines Represented
- Peer Support

# Assessing ROC Within VA

# Sample Sites

- ▶ **Acute inpatient mental health units at 34 VAMCs:**
  - ▶ Representing every major region of the country
  - ▶ 16 different VISNs
  - ▶ Rural (n=3, 9%) and urban (n=31; 91%) setting

# Data Sources



- ▶ Data collection included several sources:
  - ▶ Observations from 2-day site visits
  - ▶ Key informant (staff) interviews
  - ▶ Veteran interviews
  - ▶ Administrative data
  - ▶ Chart reviews

# Staff Key Informants

- ▶ Average of 4.4 key informant interviews were conducted for each site (range 3 to 7)
- ▶ Targeted:
  - ▶ Unit Nurse Manager
  - ▶ Medical Director/Lead Psychiatrist
  - ▶ Program Coordinator
  - ▶ Social Worker
  - ▶ Local Recovery Coordinator
- ▶ 1 hour
- ▶ Semi-structured (phone)
- ▶ Implementation of elements
- ▶ Implementation process

# Sample

## ▶ Veteran interviews

- ▶ Average of 5.7 veteran interviews were conducted for each site (range 4 to 9)
- ▶ Over half of Veterans interviewed were White (56%)
- ▶ One-third were Black or African American (33%)
- ▶ Small number were Hispanic or Latino (9%)
- ▶ Majority of participating Veterans were male (79%)



# Scoring

- Raters
  - Members of Study Team
  - Decades experience as providers, consultants, researchers, and family members
- Primary rater (secondary and tertiary raters when necessary).
  - Coordinated data collection
  - Led site visit
  - Drafted a preliminary site summary
- A site scoring meeting
  - ≥4 raters
  - consensus.

# Results



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# Levels of Implementation

## Common

- Sufficient Volume of Group Programming
- Respectful Therapeutic Interactions
- Autonomy Promoting Environment
- Outpatient Care Coordination

## Very Common

- Integrated Care for Comorbid Physical Health
- Suicide Prevention
- High Quality Programming
- Behavior Managed Through Least Restrictive Means

## Uncommon

- SDM for Outpatient Treatment
- Least Restrictive Discharge
- In-Reach
- Support for Programming
- Warm & Inviting Unit
- Peer Support
- Interdisciplinary Treatment Team
- Family/Significant Other Involvement

## Very Uncommon

- Written Treatment Plan
- Recovery-Oriented Goal Setting
- SDM for Medication Management
- SDM for Inpatient Treatment
- Individual Evidence-Based Psychotherapy

# Inpatient Treatment Planning

	0	.5	1.0	1.5	2.0	Mean	S.D.
<i>RAIN Mean Revised</i>	-	-	-	-	-	1.21	.22
<i>Inpatient Treatment Planning</i>	-	-	-	-	-	.87	.35
1. Recovery-oriented goal setting	5 (14.7%)	13 (38.2%)	10 (29.4%)	5 (14.7%)	1 (2.9%)	.77	.51
2. Written Treatment Plan	2 (5.9%)	6 (17.6%)	20 (58.8%)	6 (17.6%)	0 (0%)	.94	.38
3. SDM for Medication Management	8 (23.5%)	10 (29.4%)	9 (26.5%)	6 (17.6%)	1 (2.9%)	.74	.57
4. SDM for Inpatient Treatment	10 (29.4%)	16 (47.1%)	6 (17.6%)	2 (5.9%)	0 (0%)	.50	.43
22. Interdisciplinary Treatment Team	3 (8.8%)	8 (23.5%)	8 (23.5%)	10 (29.4%)	5 (14.7%)	1.09	.61
23. Family/Significant Other Involvement	0 (0%)	6 (17.6%)	16 (47.1%)	4 (11.8%)	8 (23.5%)	1.21	.52

# Outpatient Treatment Planning



	0	.5	1.0	1.5	2.0	Mean	S.D.
<i>Outpatient Treatment Planning</i>	-	-	-	-	-	1.20	.35
5. SDM for Outpatient Treatment	0 (0%)	10 (31.3%)	12 (37.5%)	7 (21.9%)	3 (9.4%)	1.05	.48
6. Outpatient Care Coordination	0 (0%)	6 (17.6%)	6 (17.6%)	13 (38.2%)	9 (26.5%)	1.37	.53
7. Least Restrictive Discharge	0 (0%)	10 (29.4%)	10 (29.4%)	10 (29.4%)	4 (11.8%)	1.12	.51
8. In-Reach	0 (0%)	4 (11.8%)	15 (44.1%)	9 (26.5%)	6 (17.6%)	1.25	.46

# Group Programming



	0	.5	1.0	1.5	2.0	Mean	S.D.
<i>Group Programming</i>	-	-	-	-	-	1.38	.34
12. Sufficient Volume of Group Programming	0 (0%)	3 (8.8%)	10 (29.4%)	10 (29.4%)	11 (32.4%)	1.43	.49
13.1 Revised Group Dimensions	-	-	-	-	-	1.61	.41
13. Sufficient Group Variety	1 (2.9%)	17 (50%)	0 (0%)	2 (5.9%)	14 (41.2%)	1.16	.76
14. Support for Programming	4 (11.8%)	6 (17.6%)	14 (41.2%)	6 (17.6%)	4 (11.8%)	1.00	.58
15. High Quality Programming	0 (0%)	2 (5.9%)	6 (17.6%)	17 (50%)	9 (26.5%)	1.49	.42

# Milieu

	0	.5	1.0	1.5	2.0	Mean	S.D.
<i>Milieu</i>	-	-	-	-	-	1.36	.36
16. Warm & Inviting Unit	1 (2.9%)	8 (23.5%)	9 (26.5%)	12 (35.3%)	4 (11.8%)	1.15	.53
17. Autonomy Promoting Environment	1 (2.9%)	3 (8.8%)	12 (35.3%)	11 (32.4%)	7 (20.6%)	1.29	.51
18. Respectful Therapeutic Interactions	1 (2.9%)	5 (14.7%)	8 (23.5%)	10 (29.4%)	10 (29.4%)	1.34	.57
19. Behavior Managed Through Least Restrictive Means	0 (0%)	2 (6.1%)	2 (6.1%)	11 (33.3%)	18 (54.5%)	1.68	.43

# Non-factor Items

	0	.5	1.0	1.5	2.0	Mean	S.D.
<i>Non-Factor Items</i>	-	-	-	-	-		
9. Integrated Care for Comorbid Physical Health	0 (0%)	0 (0%)	2 (5.9%)	7 (20.6%)	25 (73.5%)	1.84	.29
10. Individual Evidence-Based Psychotherapy	17 (50%)	3 (8.8%)	11 (32.4%)	3 (8.8%)	0 (0%)	0.50	.55
11. Suicide Prevention	0 (0%)	0 (0%)	2 (5.9%)	8 (23.5%)	24 (70.6%)	1.82	.30
20. Multiple Disciplines Represented	0 (0%)	10 (29.4%)	9 (26.5%)	10 (29.4%)	5 (14.7%)	1.15	.53
20.1 Revised Disciplines' Subjective Adequacy	-	-	-	-	-	1.66	.24
21. Peer Support	5 (14.7%)	8 (23.5%)	8 (23.5%)	3 (8.8%)	10 (29.4%)	1.07	.73



# Discussion

- Development of this scale is a big gain toward conceptualizing and operationalizing recovery-oriented care in the context of acute inpatient mental health care
- Content validity is strong
  - Consistent with extant literature
    - Patient perspectives
    - Staff/provider perspectives
    - Other implementation efforts

# Not done yet



- ▶ Psychometrics
  - ▶ Item level issues
  - ▶ Factor analysis not strong fit
- ▶ Feedback
  - ▶ Field
  - ▶ Peer review
- ▶ Outcomes

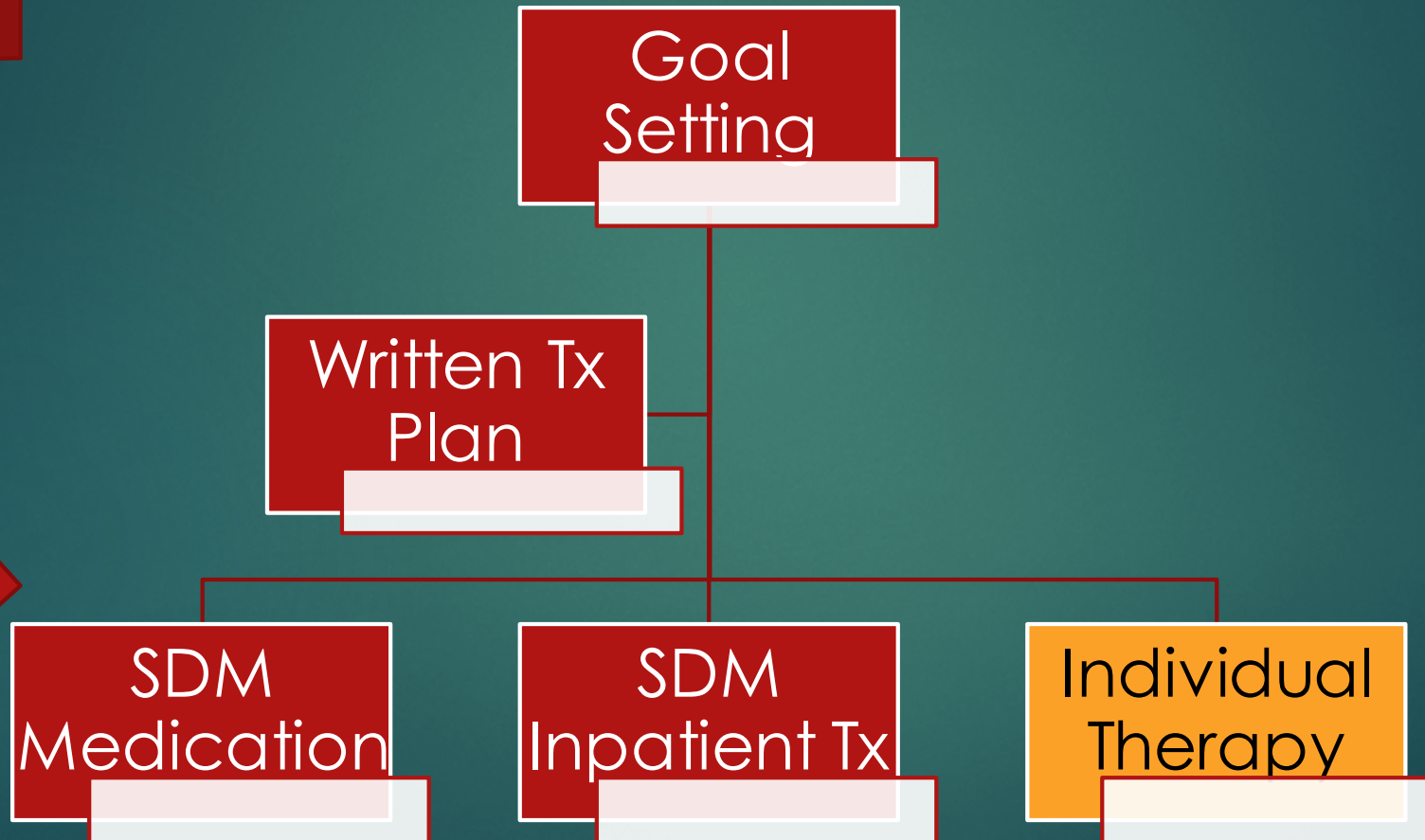
# VA Successes

- ▶ Suicide Prevention
- ▶ Behavior Management
- ▶ Integration of Physical Health
- ▶ Quality Programming



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# Urgent Attention Needed



# Implementation Data (Preliminary Thoughts)

- ▶ Data analysis on-going
- ▶ Data is sparse- Why?
  - ▶ Common understanding of concepts took a long time
  - ▶ Institutional amnesia
  - ▶ No singular “launch” at sites
- ▶ Model specificity a barrier or facilitator, depending on the item
  - ▶ 40 HOURS OF PROGRAMMING!!!!
  - ▶ VS. SDM or Goal-setting

# Other Barriers

- ▶ Focus on Safety and Acute Stabilization
- ▶ Medical Model Focused Prescribers
- ▶ “Yes. It’s basically I find that the treatment team meetings are I guess like **90% about discussions about medications** and there is very little room for psychosocial type issues. I believe that our psychiatrist is totally grounded in biological processes and totally believes in those and therefore there’s- psychosocial issues are either discounted or they’re farmed out to the social workers.”  
– Psychologist/Treatment Coordinator

# Facilitators

- ▶ Not necessarily sufficient (or necessary)
- ▶ Staffing
  - ▶ E.g., No psychologist = no therapy
- ▶ Champion
  - ▶ Helpful (but can be trumped)

# Future Directions



- ▶ For our team
  - ▶ Assess relationship with Veteran outcomes
  - ▶ Analyze implementation data
- ▶ Need evidence-base for
  - ▶ Inpatient programming (individual & group)
  - ▶ Specific models of goal-setting
  - ▶ Specific models of shared decision-making





# Thank you!

QUESTIONS & DISCUSSION